

COMBINATORIAL CALABI FLOWS ON SURFACES

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ABSTRACT. For triangulated surfaces, we introduce the combinatorial Calabi flow which is an analogue of smooth Calabi flow. We prove that the solution of combinatorial Calabi flow exists for all time. Moreover, the solution converges if and only if Thurston's circle packing exists. As a consequence, combinatorial Calabi flow provides a new algorithm to find circle packings with prescribed curvatures. The proofs rely on careful analysis of combinatorial Calabi energy, combinatorial Ricci potential and discrete dual-Laplacians.

1. INTRODUCTION

In seeking constant curvature metric, E. Calabi studied the variational problem of minimizing the so-called “Calabi energy” in any fixed cohomology class of Kähler metrics and proposed the Calabi flow ([2], [3]). Hamilton introduced the Ricci flow technique ([19]), which has been used to solve the Poincaré conjecture. As to dimension two, *i.e.*, smooth surface case, people proved that both Calabi flow and the normalized Ricci flow exist for all time $t \geq 0$. Moreover, these two flows converge to constant scalar curvature metric (see [4], [5], [6], [7], [9], [24], and [25]).

Given a triangulated surface, Thurston introduced the circle packing metric, which is a type of piecewise flat cone metric with singularities at the vertices. Thurston found that there are combinatorial obstructions for the existence of constant combinatorial curvature circle packing metric (see section 13.7 in [26]). In [8], Bennett Chow and Feng Luo defined combinatorial Ricci flow, which is the analogue of Hamilton's Ricci flow. Bennett Chow and Feng Luo proved that the combinatorial Ricci flow exists for all time and converges exponentially fast to Thurston's circle packing on surfaces. They reproved the equivalence between Thurston's combinatorial condition (see (1.3) in [8], or (1.3) in this paper) and the existence of constant curvature circle packing metric.

Inspired by the work of Bennett Chow and Feng Luo in [8], we consider in this paper the 2-dimensional combinatorial Calabi flow equation, which is the negative gradient flow of combinatorial Calabi energy. We interpret the Jacobian of the curvature map as a type of discrete Laplace operator, which comes from the dual structure of circle patterns. We evaluate an uniform bound for discrete dual-Laplacians, which implies the long time existence of the solutions of combinatorial Calabi flow. We prove that the combinatorial Calabi flow converges exponentially fast if and only if Thurston's combinatorial conditions

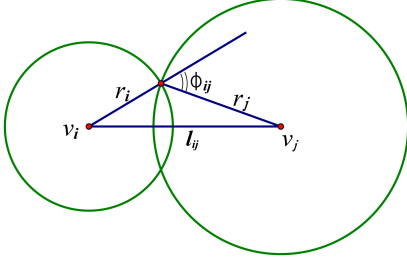


FIGURE 1. circle packing metric

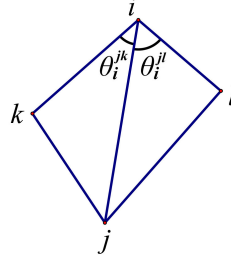


FIGURE 2. two adjacent triangles

are satisfied. The combinatorial Calabi flow tends to find Thurston's circle patterns automatically. As a consequence, we can design algorithm to compute circle packing metrics with prescribed combinatorial curvature. In fact, any algorithm minimizing combinatorial Calabi energy or combinatorial Ricci potential can achieve this goal.

1.1. Circle packing metric. Let's consider a closed surface X . A triangulation $T = (V, E, F)$ has a collection of vertices (denoted V), edges (denoted E), and faces (denoted F). A positive function $r : V \rightarrow (0, +\infty)$ defined on the vertices is called a circle packing metric and a function $\Phi : E \rightarrow [0, \pi/2]$ is called a weight on the triangulation. Throughout this paper, a function defined on vertices is regarded as a N -dimensional column vector and $N = V^\#$ is used to denote the number of vertices. Moreover, all vertices, marked by v_1, \dots, v_N , are supposed to be ordered one by one and we often write i instead of v_i . Thus we may think of circle packing metrics as points in $\mathbb{R}_{>0}^N$, N times of Cartesian product of $(0, \infty)$. A triangulated surface with wight Φ is often denoted as (X, T, Φ) .

For fixed (X, T, Φ) , every circle packing metric r determines a piecewise linear metric on X by attaching each edge e_{ij} to a length

$$l_{ij} = \sqrt{r_i^2 + r_j^2 + 2r_i r_j \cos(\Phi_{ij})}.$$

This length structure makes each face in F isometric to an Euclidean triangle, more specifically, we can realize each face $\{i, j, k\} \in F$ as an Euclidean triangle with edge lengths l_{ij}, l_{jk}, l_{ki} because the three positive numbers l_{ij}, l_{jk}, l_{ki} (derived from r_i, r_j, r_k) must satisfy triangle inequalities ([26], Lemma 13.7.2). Furthermore, the triangulated surface (X, T) is composed by gluing many Euclidean triangles coherently.

1.2. Combinatorial curvature and constant curvature metric. Given (X, T, Φ) and a circle packing metric r , all inner angles of the triangles are determined by r_1, \dots, r_N . Denote θ_i^{jk} as the inner angle at vertex i in the triangle $\{i, j, k\} \in F$, then the well known combinatorial (or "discrete") Gauss curvature K_i at vertex i is

$$K_i = 2\pi - \sum_{\{i,j,k\} \in F} \theta_i^{jk} \quad (1.1)$$

where the sum is taken over each triangle having i as one of its vertices. Notice that θ_i^{jk} can be calculated by cosine law, thus θ_i^{jk} and K_i are elementary functions of the circle packing metric r .

For every circle packing metric r on (X, T, Φ) , we have the combinatorial Gauss-Bonnet formula ([8])

$$\sum_{i=1}^N K_i = 2\pi\chi(X). \quad (1.2)$$

Notice that, above combinatorial Gauss-Bonnet formula (1.2) is still valid for all piecewise linear surface (X, T, l) , where $l : E \rightarrow (0, +\infty)$ is a piecewise linear metric, that is, the length structure l makes each face in F isometric to an Euclidean triangle. The average combinatorial curvature is $k_{av} = 2\pi\chi(X)/N$. It will not change as the metric (circle packing metric or piecewise linear metric) varies, and it's a invariant that depends only on the topological (the Euler characteristic number $\chi(X)$) and combinatorial ($N = V^\#$) information of (X, T) .

Finding good metrics is always a central topic in geometry and topology. The constant curvature circle packing metric (denoted as r_{av}), a metric that determines constant combinatorial curvature $K_{av} = K(r_{av}) = k_{av}(1, \dots, 1)^T$, seems a good candidate for privileged metric. Thurston first studied this class of metrics, and found that there are combinatorial obstructions for the existence of constant curvature metric ([26]). We quote Thurston's combinatorial conditions here.

Lemma 1.1. Given (X, T, Φ) , where X , T and Φ are defined as before. Then there exists constant combinatorial curvature circle packing metric if and only if the following combinatorial conditions are satisfied

$$2\pi\chi(X) \frac{|I|}{|V|} > - \sum_{(e,v) \in Lk(I)} (\pi - \Phi(e)) + 2\pi\chi(F_I), \quad \forall I : \phi \neq I \subsetneq V, \quad (1.3)$$

where F_I is the subcomplex whose vertices are in I , $Lk(I)$ is the set of pairs (e, v) of an edge e and a vertex v so that (1) the end points of e are not in I and (2) the vertex v is in I and (3) e and v form a face in T .

In [8], Bennett Chow and Feng Luo introduced the combinatorial Ricci flow which is an analogue of Hamilton's Ricci flow on surfaces ([?]). They proved that the solutions of the normalized combinatorial Ricci flow converges exponentially fast to Thurston's constant curvature metric r_{av} . Combinatorial Ricci flow method is so powerful that, on one hand, the flow provides a new proof of Thurston's existence of constant circle packing metric theorem, on the other hand, the flow suggests a new algorithm to find circle packing metrics with prescribed curvatures.

In this paper, we introduce the combinatorial Calabi flow, which is the negative gradient flow of combinatorial Calabi energy. Combinatorial Calabi flow is an analogue of smooth

Calabi flow on surface. We prove that the solution of combinatorial Calabi flow exists for $t \in [0, +\infty)$ by a careful estimation of discrete dual-Laplacian. Moreover, the solution of combinatorial Calabi flow converges to constant curvature metric r_{av} if and only if r_{av} exists.

2. THE 2-DIMENSIONAL COMBINATORIAL CALABI FLOW

2.1. Definition of combinatorial Calabi flow. For smooth surface, the well known Calabi flow is $\frac{\partial g}{\partial t} = \Delta K g$, where K is Gauss curvature. Obviously, smooth Laplace-Beltrami operator is very important for smooth Calabi flow equation. Before giving the definition of combinatorial Calabi flow, we first need to define combinatorial Laplace operator, which is an analogue of smooth Laplace-Beltrami operator.

Set $u_i = \ln r_i$, $i = 1, \dots, N$. Then the coordinate transformation $u = u(r)$ maps $r \in \mathbb{R}_{>0}^N$ to $u \in \mathbb{R}^N$ homeomorphically (denote the inverse coordinate transformation as $Exp: \mathbb{R}^N \rightarrow \mathbb{R}_{>0}^N$, $u \mapsto r$ for latter use). Similar to [11] and [12], we interpret the discrete Laplacian to be the Jacobian of the curvature map $K = K(u)$.

Definition 2.1. Given (X, T, Φ) , where X , T and Φ is defined as before. The discrete dual-Laplace operator “ Δ ” is defined to be $\Delta = -L^T$, where

$$L = (L_{ij})_{N \times N} = \frac{\partial(K_1, \dots, K_N)}{\partial(u_1, \dots, u_N)} = \begin{pmatrix} \frac{\partial K_1}{\partial u_1} & \cdot & \cdot & \cdot & \frac{\partial K_1}{\partial u_N} \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \frac{\partial K_N}{\partial u_1} & \cdot & \cdot & \cdot & \frac{\partial K_N}{\partial u_N} \end{pmatrix}.$$

Both Δ and L acts on functions f (defined on vertices, hence is a column vector) by matrix multiplication, *i.e.*

$$\Delta f_i = (\Delta f)_i = -(L^T f)_i = -\sum_{j=1}^N \frac{\partial K_j}{\partial u_i} f_j = -\sum_{j=1}^N \frac{\partial K_j}{\partial r_i} r_i f_j.$$

Discrete Laplacian defined here is exactly the famous discrete dual-Laplacian ([13][15]), which will be explained carefully in Appendix A.1.

Definition 2.2. Given data (X, T, Φ) , where X , T and Φ is defined as before. The combinatorial Calabi flow is

$$\frac{dr_i}{dt} = r_i \Delta K_i \tag{2.1}$$

with initial circle packing metric $r(0) \in \mathbb{R}_{>0}^N$, or equivalently,

$$\frac{du_i}{dt} = \Delta K_i \tag{2.2}$$

with $u(0) \in \mathbb{R}^N$.

It's more neatly to write combinatorial Calabi flow (2.1) and (2.2) in matrix forms. Denote $R = \text{diag}\{r_1, \dots, r_N\}$, then flow (2.1) turns to

$$\dot{r} = R\Delta K = -RL^TK, \quad (2.3)$$

where the symbol “ \cdot ” represents the time derivative. The flow (2.2) turns to

$$\dot{u} = \Delta K = -L^TK. \quad (2.4)$$

Notice that, equation (2.4) is an autonomous ODE system.

Remark 1. Using the matrix language, Bennett Chow and Feng Luo's combinatorial Ricci flow ([8]) is $\dot{u} = -K$. The normalized combinatorial Ricci flow is $\dot{u} = K_{av} - K$.

2.2. Main properties of combinatorial Calabi flow.

Definition 2.3. For data (X, T, Φ) with a circle packing metric r , the combinatorial Calabi energy is

$$\mathcal{C}(r) = \|K - K_{av}\|^2 = \sum_{i=1}^N (K_i - k_{av})^2 \quad (2.5)$$

Consider the combinatorial Calabi energy \mathcal{C} as a function of u , we obtain

$$\nabla_u \mathcal{C} = \begin{pmatrix} \frac{\partial \mathcal{C}}{\partial u_1} \\ \vdots \\ \frac{\partial \mathcal{C}}{\partial u_N} \end{pmatrix} = 2 \begin{pmatrix} \frac{\partial K_1}{\partial u_1} & \cdot & \cdot & \cdot & \frac{\partial K_N}{\partial u_1} \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \frac{\partial K_1}{\partial u_N} & \cdot & \cdot & \cdot & \frac{\partial K_N}{\partial u_N} \end{pmatrix} \begin{pmatrix} K_1 \\ \cdot \\ \cdot \\ \cdot \\ K_N \end{pmatrix} = 2L^TK.$$

Proposition 2.4. Combinatorial Calabi flow (2.2) (or (2.4)) is the negative gradient flow of combinatorial Calabi energy. Moreover, the calabi energy (2.5) is descending along this flow.

Proof: $\dot{u}(t) = -L^TK = -\frac{1}{2}\nabla_u \mathcal{C}$. Moreover, $\mathcal{C}'(t) = -\frac{1}{2}\|\nabla_u \mathcal{C}\|^2 \leq 0$.

Q.E.D.

Because K_i and ΔK_i are elementary functions of r_1, \dots, r_N , the local existence of combinatorial Calabi flow (2.1) follows from Picard's existence and uniqueness theorem in the standard ODE theory. By a careful estimation of discrete dual-Laplacian and combinatorial Ricci potential, the long time existence property is proved in section 3, the convergence property is proved in section 4. The main results in this paper is stated as follows

Theorem 2.5. Fix (X, T, Φ) , where X is a closed surface, T is a triangulation, $\Phi \in [0, \pi/2]$ is a weight. For any initial circle packing metric $r(0) \in \mathbb{R}_{>0}^N$, the solution of combinatorial Calabi flow (2.1) exists for $t \in [0, +\infty)$. Moreover, the following four statements are mutually equivalent

(1): The solution of combinatorial Calabi flow (2.1) converges.

- (2): The solution of combinatorial Ricci flow $\dot{u} = K_{av} - K$ converges.
- (3): There exists constant curvature circle packing metric r_{av} .
- (4): $2\pi\chi(X)\frac{|I|}{|V|} > -\sum_{(e,v)\in Lk(I)}(\pi - \Phi(e)) + 2\pi\chi(F_I), \quad \forall I: \phi \neq I \subsetneq V$.

Furthermore, if any of above four statements is true, the solution of combinatorial Calabi flow (2.1) converges exponentially fast to constant curvature circle packing metric r_{av} .

3. LONG TIME EXISTENCE

3.1. Discrete Laplacian for circle packing metrics. Any circle packing metric r determines an intrinsic metric structure on fixed (X, T, Φ) by Euclidean cosine law. The lengths l_{ij} , angles θ_i^{jk} and curvatures K_i are elementary functions of $r = (r_1, \dots, r_N)^T$. We write $j \sim i$ in the following if the vertices i and j are adjacent. For any vertex i and any edge $j \sim i$, set

$$B_{ij} = \frac{\partial(\theta_i^{jk} + \theta_i^{jl})}{\partial r_j} r_j, \quad (3.1)$$

then $B_{ij} = B_{ji}$, since $\frac{\partial\theta_i^{jk}}{\partial r_j} r_j = \frac{\partial\theta_j^{ik}}{\partial r_i} r_i$ (see Lemma 2.3 in [8]).

Proposition 3.1. For any $1 \leq i, j \leq N$ and $i \sim j$, we have

$$0 < B_{ij} < 2\sqrt{3}. \quad (3.2)$$

Proof: We just need to prove $0 < \frac{\partial\theta_i^{jk}}{\partial r_j} r_j < \sqrt{3}$. We defer the details to the appendix. Q.E.D.

Proposition 3.2. For any $1 \leq i, j \leq N$,

$$L_{ij} = \begin{cases} \sum_{k \sim i} B_{ik}, & j = i \\ -B_{ij}, & j \sim i \\ 0, & \text{else.} \end{cases} \quad (3.3)$$

Proof: This can be proved by direct calculations and we omit the details.

Q.E.D.

Proposition 3.3. L is semi-positive definite, having rank $N - 1$. Moreover, the kernel of L is the span of the vector $(1, \dots, 1)^T$.

Proof: This is a direct consequence from Lemma 3.10 in [8].

Q.E.D.

The differential form $\omega \triangleq \sum_{i=1}^N (K_i - k_{av}) du_i$ is closed, for $L_{ij} = L_{ji}$. Thus the integral $\int_{u_0}^u \sum_{i=1}^N (K_i - k_{av}) du_i$ makes good sense ([8]), where u_0 is an arbitrary point in \mathbb{R}^N . This integral is crucial for the proof of our main theorem. For convenience, we call this integral combinatorial Ricci potential.

For any smooth closed manifold (M, g) with Riemannian metric g , we know that $\int_M \Delta f = 0$, where f is an arbitrary smooth function defined on M . For combinatorial

surface (X, T, Φ) with circle packing metric r , we have $\sum_{i=1}^N \Delta K_i = -(1, \dots, 1)^T LK = 0$. Thus we obtain

Proposition 3.4. As long as the combinatorial Calabi flow exists, both $\prod_{i=1}^N r_i(t) \equiv \prod_{i=1}^N r_i(0)$ and $\sum_{i=1}^N u_i(t) \equiv \sum_{i=1}^N u_i(0)$ are constants.

3.2. Long time existence of combinatorial Calabi flow. Notice that

$$\Delta K_i = \sum_{j \sim i} B_{ij} (K_j - K_i). \quad (3.4)$$

Using the estimation of B_{ij} in (3.2), we obtain

Theorem 3.5. Given X, T, Φ , where X, T and Φ are defined as before. For any initial circle packing metric $r(0)$, the solution of the combinatorial Calabi flow (2.1) exists for all time $t \in [0, +\infty)$.

Proof: Let d_i denote the degree (or say valence) at vertex v_i , that is the number of edges adjacent to v_i . Suppose $d = \max(d_1, \dots, d_N)$, evidently we see $(2 - d)\pi < K_i < 2\pi$. From the evaluation of B_{ij} in Lemma 1 we know, all $|\Delta K_i|$ is uniformly bounded by a positive constant $c = 2\sqrt{3} \cdot N \cdot d \cdot \pi$ which depend only on the triangulation. Then we obtain

$$c_0 e^{-ct} \leq r_i(t) \leq c_0 e^{ct}$$

where $c_0 = c(r(0))$ is a positive constant depends only on the initial state of $r(t)$. This implies that the combinatorial Calabi flow has a solution for all time $t \in [0, \infty)$ for any initial value $r(0) \in \mathbb{R}_{>0}^N$. Q.E.D.

Remark 2. The long time existence of $r(t)$ can be deduced without using the uniform estimation of B_{ij} in (3.2). See Remark 3.

4. CONVERGENCE TO CONSTANT CURVATURE METRIC

4.1. Evolution of combinatorial curvature. We consider a general flow in the space of all circle packing metrics with form

$$\frac{dr_i}{dt} = r_i \varphi_i$$

where φ_i are smooth functions of r_1, \dots, r_N . For this flow, we have

Lemma 4.1. Under general flow, the discrete Gauss curvature evolves according to

$$\frac{dK_i}{dt} = -\Delta \varphi_i = (L\varphi)_i$$

or $\dot{K} = L\varphi$ in matrix form.

Proof: We can calculate $\frac{d\theta_i^{jk}}{dt}$ and $\frac{dK_i}{dt}$ in components form to get the proof. The detail is tedious and is omitted. However, above Lemma is a direct conclusion of chain rule in matrix form

$$\dot{K} = \frac{\partial(K_1, \dots, K_N)}{\partial(u_1, \dots, u_N)} \dot{u} = L\varphi = -\Delta\varphi.$$

Q.E.D.

As to combinatorial Calabi flow, select $\varphi_i = \Delta K_i$ (or $\varphi = -LK$), and use lemma 4.1, we obtain

Proposition 4.2. Under combinatorial Calabi flow, the discrete Gauss curvature evolves according to

$$\frac{dK}{dt} = -L^2 K \quad (4.1)$$

4.2. Global rigidity and admissible space of curvatures. For fixed data (X, T, Φ) , let $\Pi : \mathbb{R}_{>0}^N \rightarrow \mathbb{R}^N$ be the curvature map which maps r to K . For any positive constant c , denote

$$\mathcal{P}_c \triangleq \left\{ r = (r_1, \dots, r_N)^T \in \mathbb{R}_{>0}^N \mid \prod_{i=1}^N r_i = c \right\}. \quad (4.2)$$

Thurston proved that the map $\Pi_{\mathcal{P}_c}$ is injective, *i.e.*, the metric is determined by its curvature up to a scalar multiplication ([26], [8]). This phenomenon is called “**global rigidity**”. Denote

$$\mathcal{K}_{GB} \triangleq \left\{ K = (K_1, \dots, K_N)^T \in \mathbb{R}^N \mid \sum_{i=1}^N K_i = 2\pi\chi(X) \right\}.$$

For any nonempty proper subset I of vertices V , set

$$\mathcal{Y}_I \triangleq \left\{ (K = K_1, \dots, K_N)^T \in \mathbb{R}^N \mid \sum_{i \in I} K_i > - \sum_{(e,v) \in Lk(I)} (\pi - \Phi(e)) + 2\pi\chi(F_I) \right\}$$

Let

$$\mathcal{Y} \triangleq \mathcal{K}_{GB} \cap \left(\bigcap_{\phi \neq I \subsetneq V} \mathcal{Y}_I \right), \quad (4.3)$$

Bennett Chow and Feng Luo proved that $\mathcal{Y} = \Pi(\mathbb{R}_{>0}^N)$ ([8]), so, \mathcal{Y} is exactly the space of all possible combinatorial curvatures. We call \mathcal{Y} “**admissible space of curvatures**”. Notice that \mathcal{Y} is a nonempty bounded open convex polyhedron in $(N-1)$ -dimensional hyperplane \mathcal{K}_{GB} . Moreover, \mathcal{Y} is homeomorphic to \mathbb{R}^{N-1} . By the invariance of domain theorem in differential topology, the curvature map Π realized a homeomorphism from \mathcal{P}_c to \mathcal{Y} . Denote

$$\mathcal{U}_a = \left\{ u = (u_1, \dots, u_N)^T \in \mathbb{R}^N \mid \sum_{i=1}^N u_i = a \right\}, \quad (4.4)$$

then $\mathcal{P}_c = \text{Exp}(\mathcal{U}_a)$, where $a = \ln c$. Denote $\tilde{\Pi} = \Pi \circ \text{Exp} : \mathbb{R}^N \rightarrow \mathbb{R}^N, u \mapsto K$. Then we have homeomorphisms $\mathcal{U}_a \xrightarrow{u \mapsto r = \text{Exp}(u)} \mathcal{P}_c \xrightarrow{r \mapsto K = \Pi(r)} \mathcal{Y}$ and, moreover, for arbitrary positive constant c , $\mathcal{Y} = \Pi(\mathbb{R}_{>0}^N) = \Pi(\mathcal{P}_c) = \tilde{\Pi}(\mathbb{R}^N) = \tilde{\Pi}(\mathcal{U}_a)$, where $a = \ln c$.

4.3. Convergence to constant curvature metric. The constant curvature $K_{av} = k_{av}(1, \dots, 1)^T$ may not be admissible, that is, K_{av} may not belong to \mathcal{Y} . $K_{av} \in \mathcal{Y}$ is equivalent to the existence of constant curvature metric r_{av} , actually, $\Pi^{-1}(K_{av}) \subset \mathbb{R}_{>0}^N$ is a class of constant curvature metrics which differ by scalar multiplications.

Along the combinatorial Calabi flow (2.1), from Proposition 3.4 we know that $\{r(t)\} \subset \mathcal{P}_c$, where $c = \prod_{i=1}^N r_i(0)$. Now we are at the stage of proving convergence results. We state our main results as follows:

Theorem 4.3. Fix (X, T, Φ) , where X is a closed surface, T is a triangulation, $\Phi \in [0, \pi/2]$ is a weight. For any initial circle packing metric $r(0) \in \mathbb{R}_{>0}^N$, the solution of combinatorial Calabi flow (2.1) exists for $t \in [0, +\infty)$. Moreover, the following four statements are mutually equivalent

- (1): The solution of combinatorial Calabi flow (2.1) converges.
- (2): The solution of combinatorial Ricci flow $\dot{u} = K_{av} - K$ converges.
- (3): There exists constant curvature circle packing metric r_{av} .
- (4): $2\pi\chi(X) \frac{|I|}{|V|} > -\sum_{(e,v) \in Lk(I)} (\pi - \Phi(e)) + 2\pi\chi(F_I), \quad \forall I : \phi \neq I \subsetneq V$.

Furthermore, if any of above four statements is true, the solution of combinatorial Calabi flow (2.1) converges exponentially fast to constant curvature circle packing metric r_{av} .

Proof: We have proved the long time existence of flow (2.1) in last section. Denote $r(t)$, $t \in [0, \infty)$ as the solution of combinatorial Calabi flow (2.1).

First we prove (1) \Rightarrow (3). If $r(t)$ converges, i.e., $r(+\infty) = \lim_{t \rightarrow +\infty} r(t) \in \mathbb{R}_{>0}^N$ exists, then both $K(+\infty) = \lim_{t \rightarrow +\infty} K(t) \in \mathcal{Y}$ and $L(+\infty) = \lim_{t \rightarrow +\infty} L(t)$ exist too. Consider the combinatorial Calabi energy

$$\mathcal{C}(r(t)) = \sum_{i=1}^N (K_i(t) - k_{av})^2 = \sum_{i=1}^N K_i^2(t) - Nk_{av}^2,$$

differentiate over t , we obtain

$$\mathcal{C}'(t) = 2 \sum_{i=1}^N \dot{K}_i K_i = 2K^T \dot{K} = -2K^T L^2 K \leq 0,$$

which implies that $\mathcal{C}(t)$ is descending as time t increases. Moreover, $\mathcal{C}(t)$ is bounded by zero from below. Therefore $\mathcal{C}(+\infty) = \lim_{t \rightarrow +\infty} \mathcal{C}(t)$ exists. $\mathcal{C}'(+\infty)$ exists too, since

$$\mathcal{C}'(+\infty) = \lim_{t \rightarrow +\infty} (-2K^T(t)L^2(t)K(t)) = -2K^T(+\infty)L^2(+\infty)K(+\infty).$$

The existence of $\mathcal{C}(+\infty)$ and $\mathcal{C}'(+\infty)$ implies $\mathcal{C}'(+\infty) = 0$, that is,

$$K^T(+\infty)L^2(+\infty)K(+\infty) = 0.$$

Hence $K(+\infty) \in \text{Ker}(L^2) = \text{Ker}(L)$. From Lemma 3.3 we know $K_{av} = K(+\infty) \in \mathcal{V}$, which implies that constant curvature metric r_{av} exists.

Next we prove (3) \Rightarrow (1). Assuming there exists constant curvature circle packing metric r_{av} , which implies $K_{av} \in \mathcal{V}$, we want to show $r(t)$ converges, *i.e.* $r(+\infty) = \lim_{t \rightarrow +\infty} r(t) \in \mathbb{R}_{>0}^N$. We carry out the proof in three steps.

Step 1: Denote λ_1 as the first eigenvalue of L , we claim that

$$K^T L^2 K \geq \lambda_1^2 \|K - K_{av}\|^2 = \lambda_1^2 \mathcal{C}. \quad (4.5)$$

Select an orthogonal matrix P , such that $P^T L P = \text{diag}\{0, \lambda_1, \dots, \lambda_{N-1}\}$. Write $P = (e_0, e_1, \dots, e_{N-1})$, where e_i is the $(i+1)^{\text{th}}$ column of P . Then $Le_0 = 0$ and $Le_i = \lambda_i e_i$, $i = 1, \dots, N-1$, which implies $e_0 = \frac{1}{\sqrt{N}}(1, \dots, 1)^T$. Write $\tilde{K} = P^T K$, then $\tilde{K}_1 = e_0^T K = \sqrt{N} k_{av}$. Hence we obtain

$$K^T L^2 K = \lambda_1^2 \tilde{K}_2^2 + \dots + \lambda_{N-1}^2 \tilde{K}_N^2 \geq \lambda_1^2 (\|\tilde{K}\|^2 - \tilde{K}_1^2) = \lambda_1^2 \|K - K_{av}\|^2 = \lambda_1^2 \mathcal{C}.$$

Thus we get the claim above.

Step 2: we show that $\{r(t) \mid t \in [0, \infty)\} \subset \subset \mathbb{R}_{>0}^N$. Consider the combinatorial Ricci potential

$$f(u) \triangleq \int_{u_{av}}^u \sum_{i=1}^N (K_i - k_{av}) du_i, \quad u \in \mathbb{R}^N, \quad (4.6)$$

where $u_{av} = \text{Exp}^{-1}(r_{av})$. This integral is well defined, because $\sum_{i=1}^N (K_i - k_{av}) du_i$ is a closed differential form. $f|_{\mathcal{U}_a}$ is strictly convex (see the proof of Theorem B.2), hence $\nabla f|_{\mathcal{U}_a} : \mathcal{U}_a \rightarrow \mathbb{R}^N, u \mapsto K - K_{av}$ is injective, therefore u_{av} is the unique critical point of $f|_{\mathcal{U}_a}$ and f is bounded from below by zero ($f(u) \geq f(u_{av}) = 0$). Consider $\varphi(t) \triangleq f(u(t))$, then

$$\varphi'(t) = (\nabla f)^T \dot{u}(t) = (K - K_{av})^T (-LK) = -K^T LK \leq 0$$

hence $\varphi(t)$ is descending as t increases and then $0 \leq \varphi(t) \leq \varphi(0) = f(u(0))$, for any $t \in [0, +\infty)$. Hence $\{u(t) \mid t \in [0, +\infty)\} \subset (f|_{\mathcal{U}})^{-1}([0, \varphi(0)])$. Because $f|_{\mathcal{U}}$ is proper by Theorem B.2, $(f|_{\mathcal{U}})^{-1}([0, \varphi(0)])$ is a compact subset of \mathcal{U} . Therefore $\{u(t) \mid t \in [0, +\infty)\} \subset \subset \mathcal{U}$, or equivalently,

$$\{r(t) \mid t \in [0, \infty)\} \subset \subset \mathcal{P} \subset \mathbb{R}_{>0}^N.$$

Step 3: we show that $r(t)$ converges exponentially fast to r_{av} . Due to step 2, $\lambda_1^2(t)$, the first eigenvalue of $L^2(t)$, has a uniform lower bound along the Calabi flow, *i.e.*, $\lambda_1^2(t) \geq \lambda/2 > 0$, where λ is a positive constant. Using (4.5), we obtain

$$\mathcal{C}'(t) = -2K^T L^2 K \leq -2\lambda_1^2(t) \mathcal{C} \leq -\lambda \mathcal{C}.$$

Hence then we have

$$\mathcal{C}(t) \leq \mathcal{C}(0)e^{-\lambda t}, \quad (4.7)$$

which implies that the curvature $K_i(t)$ converges exponentially fast to k_{av} . Moreover, we can deduce that $r(t)$ and $u(t)$ converge exponentially fast to r_{av} and u_{av} respectively by similar methods as in the proof of Lemma 4.1 in [12].

The equivalence between (2), (3) and (4) can be found in [8] (Thurston first claimed that (3) and (4) are equivalent in [26]). Thus we finished the proof. Q.E.D.

Remark 3. We can prove $\{r(t) \mid t \in [0, T)\} \subset \subset \mathbb{R}_{>0}^N$ similarly without assuming $T = +\infty$. Using the classical extension theorem of solutions in ODE theory, we obtain $T = +\infty$. This gives a new proof of Theorem 3.5.

Theorem 4.4. For (X, T, Φ) , consider the following user prescribed combinatorial Calabi flow

$$\dot{u} = L(\bar{K} - K), \quad (4.8)$$

where $\bar{K} \in \mathbb{R}^N$ is any prescribed curvature. For any initial circle packing metric $r(0) \in \mathbb{R}_{>0}^N$, the solution of (4.8) exists for $t \in [0, +\infty)$. Moreover, the following three statements are mutually equivalent

- (1): The solution of (4.8) converges.
- (2): The prescribed curvature \bar{K} is admissible, *i.e.*, $\bar{K} \in \mathcal{V}$.
- (3): The solution of user prescribed Ricci flow $\dot{u} = \bar{K} - K$ converges.

Furthermore, if any of above three statements is true, the solution of flow (4.8) converges exponentially fast to \bar{r} .

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APPENDIX A. DUAL STRUCTURE AND THE PROOF OF (3.2)

A.1. Dual structure determined by circle patterns. Suppose C_i, C_j, C_k are closed disks centered at v_i, v_j and v_k so that their radii are r_i, r_j and r_k . They both intersect with each other at an angle supplementary to $\Phi(v_i v_j), \Phi(v_j v_k), \Phi(v_k v_i)$. Let $\mathcal{L}_i, \mathcal{L}_j, \mathcal{L}_k$ be the geodesic lines passing through the pairs of the intersection points of $\{C_k, C_j\}, \{C_k, C_i\}, \{C_i, C_j\}$. These three lines $\mathcal{L}_i, \mathcal{L}_j, \mathcal{L}_k$ must intersect in a common point O (see Figure 3).

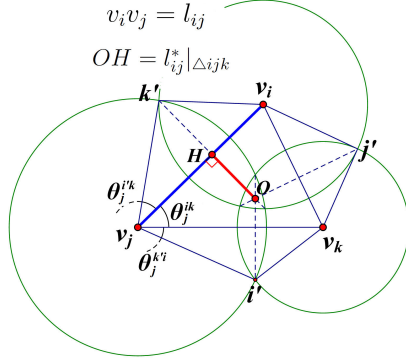


FIGURE 3. discrete dual-Laplacian

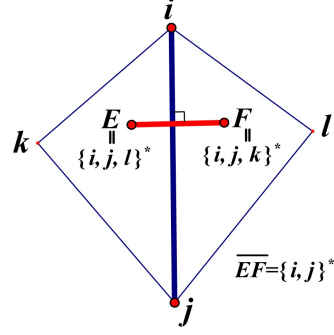


FIGURE 4. dual structure

Let i' be an intersection point of the circles C_j and C_k . Denote

$$l_{ij}^* |_{\Delta ijk} \triangleq r_j \frac{\cos \theta_j^{i'k} - \cos \theta_j^{ik} \cos \theta_j^{k'i}}{\sin \theta_j^{ik}}, \quad (\text{A.1})$$

where $\theta_j^{i'k}$ is the inner angle at v_j in the triangle $\{j, k, i'\}$. $l_{ij}^* |_{\Delta ijk}$ is the directed distance from O to H , the foot of perpendicular at edge $v_i v_j$. The directed distance from O to edge $v_i v_j$ is positive (negative), when O is inside (outside) $\angle v_i v_j v_k$.

Think O as $\{i, j, k\}^*$, the dual vertex to the triangle $\{i, j, k\}$. For two adjacent triangles $\{i, j, k\}$ and $\{i, j, l\}$ having a common edge $\{i, j\}$, $\{i, j\}^*$ (the dual edge to the edge $\{i, j\}$) is the line from $\{i, j, k\}^*$ to $\{i, j, l\}^*$ (see Figure 4). Note that $\{i, j\}^*$ is perpendicular to $\{i, j\}$. Denote l_{ij}^* as the length of $\{i, j\}^*$, then $l_{ij}^* \doteq l_{ij}^* |_{\Delta ijk} + l_{ij}^* |_{\Delta ijl}$. Using cosine law in triangle $\{i, j, k\}$, we have

$$\frac{\partial \theta_j^{ik}}{\partial r_j} r_j = \frac{r_j}{l_{ij}} \frac{\cos \theta_j^{i'k} - \cos \theta_j^{ik} \cdot \cos \theta_j^{k'i}}{\sin \theta_j^{ik}} = \frac{l_{ij}^* |_{\Delta ijk}}{l_{ij}}. \quad (\text{A.2})$$

Thus we obtain

$$B_{ij} = \frac{\partial \theta_j^{ik}}{\partial r_j} r_j + \frac{\partial \theta_j^{jl}}{\partial r_j} r_j = \frac{l_{ij}^* |_{\Delta ijk}}{l_{ij}} + \frac{l_{ij}^* |_{\Delta ijl}}{l_{ij}} = \frac{l_{ij}^*}{l_{ij}}. \quad (\text{A.3})$$

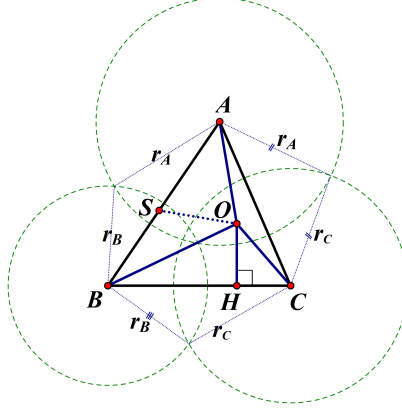
Furthermore,

$$\Delta f_i = - \sum_{j=1}^N \frac{\partial K_j}{\partial u_i} f_j = - \sum_{j=1}^N L_{ij} f_j = \sum_{j \sim i} B_{ij} (f_j - f_i) = \sum_{j \sim i} \frac{l_{ij}^*}{l_{ij}} (f_j - f_i), \quad (\text{A.4})$$

where $f : V \rightarrow \mathbb{R}$ is a function defined on vertices.

The classical discrete Laplace operator “ Δ ” is often written as the following form ([10])

$$\Delta f_i = \sum_{j \sim i} \omega_{ij} (f_j - f_i),$$

FIGURE 5. O is inside $\triangle ABC$, such that H lies between B and C .

where the weight ω_{ij} can be arbitrarily selected for different purpose. Thurston first studied the dual structure of circle patterns in [26]. Bennett Chow and Feng Luo calculated formula (A.2) in [8]. Glickenstein wrote $\omega_{ij} = \frac{l_{ij}^*}{l_{ij}}$ in [13]. The weight ω_{ij} comes from dual structure of circle patterns. This is why we call (A.4) discrete dual-Laplacian. There are other types of discrete Laplacians, such as cotangent-Laplacian. See more in [15], [16], [17], [21], and [22].

A.2. proof of (3.2).

Lemma A.1. Consider a triangle $\triangle ABC$ coming from a configuration of circle patterns with weight $\Phi \in [0, \frac{\pi}{2}]$. Assuming O is inside $\triangle ABC$. OH is the altitude from O onto side BC . Assuming the point H lies between B and C (see Figure 5). Then $|OH| < \sqrt{3}|BC|$.

Proof: Suppose this lemma is false. Then $|OH| \geq \sqrt{3}|BC|$, and

$$|OH| \geq \sqrt{3}|BC| \Rightarrow \begin{cases} |OH| \geq \sqrt{3}|BH| \Rightarrow \angle BOH \leq \pi/6, \\ |OH| \geq \sqrt{3}|CH| \Rightarrow \angle COH \leq \pi/6. \end{cases}$$

Hence

$$\angle BOC = \angle BOH + \angle COH \leq \pi/3,$$

and

$$\begin{aligned} \angle AOC &= 2\pi - (\angle AOB + \angle BOC) \geq 2\pi - \left(\pi + \frac{\pi}{3}\right) = \frac{2\pi}{3}, \\ \angle AOB &= 2\pi - (\angle AOC + \angle BOC) \geq 2\pi - \left(\pi + \frac{\pi}{3}\right) = \frac{2\pi}{3}. \end{aligned}$$

In $\triangle AOB$, we have $|AB| > |AO|$, for AB faces to a much bigger angle. Thus we can select a unique point S between A and B so that $|AS| = |AO|$. using

we get

$$\angle SOB = \angle AOB - \frac{\pi - \angle BAO}{2} \in \left[\angle AOB - \frac{\pi}{2}, \angle AOB - \frac{\angle AOB}{2} \right] \subset \left[\frac{\pi}{6}, \frac{\pi}{2} \right],$$

and

$$\angle OSB = \pi - \frac{\pi - \angle BAO}{2} \in \left[\pi - \frac{\pi}{2}, \pi - \frac{\angle AOB}{2} \right] \subset \left[\frac{\pi}{2}, \frac{2\pi}{3} \right].$$

Then we obtain

$$\frac{|OB|}{|AB| - |AO|} = \frac{|OB|}{|BS|} = \frac{\sin \angle BSO}{\sin \angle SOB} \leq \frac{1}{(\frac{1}{2})} = 2,$$

which implies

$$|AB| - |AO| \geq \frac{1}{2}|OB|.$$

Similarly, we have

$$|AC| - |AO| \geq \frac{1}{2}|OC|.$$

Next we show

$$r_B \geq \frac{1}{2}|OB|$$

and

$$r_C \geq \frac{1}{2}|OC|.$$

If $r_B \leq |OB|$, we know $r_A \leq |OA|$ by use of $r_A^2 - r_B^2 = |OA|^2 - |OB|^2$. We already know $r_A + r_B \geq |AB|$. Hence

$$r_B \geq |AB| - r_A \geq |AB| - |OA| \geq \frac{1}{2}|OB|.$$

Thus we always have $r_B \geq \frac{1}{2}|OB|$, no matter $r_B \geq |OB|$ or $r_B \leq |OB|$. Similarly, we have $r_C \geq \frac{1}{2}|OC|$. Then it is easy to see

$$r_B^2 + r_C^2 \geq \frac{1}{4}(|OB|^2 + |OC|^2) \geq \frac{1}{4} \cdot 2|OH|^2 = \frac{1}{2}|OH|^2 \geq \frac{3}{2}|BC|^2 > |BC|^2,$$

which contradicts with the fact

$$|BC| = \sqrt{r_B^2 + r_C^2 + 2r_B r_C \cos \Phi_{BC}} \geq \sqrt{r_B^2 + r_C^2}.$$

Thus the lemma is true. Q.E.D.

(Above Lemma belongs to Ruixiang Zhang and Chenjie Fan.)

Corollary A.2. Given (X, T, Φ) , where X is a closed surface, T is a triangulation, $\Phi \in [0, \pi/2]$ is a weight. Then

$$0 < \frac{\partial \theta_i^{jk}}{\partial r_j} r_j < \sqrt{3}.$$

Proof: Thurston claimed that $\frac{\partial \theta_i^{jk}}{\partial r_j} r_j = l_{ij}^*|_{\Delta_{ijk}}$ is positive ([26]). Hence O is inside the $\Delta_{v_i v_j v_k}$. The proof can be finished by Lemma A.1.

Q.E.D.

APPENDIX B. COMBINATORIAL RICCI POTENTIAL IS PROPER

Lemma B.1. Assuming function $\psi \in C^2(\mathbb{R}^n)$ satisfies

- (1): ψ is strictly convex to the downwards, and
- (2): there exists at least one point p such that $\nabla\psi(p) = 0$.

Then $\lim_{x \rightarrow \infty} \psi(x) = +\infty$. Moreover, ψ is proper.

Proof: Set $h(t) \triangleq \inf_{|x|=t} \psi(x)$, $t \geq 0$. Then $h(t)$ is a strictly monotone increasing function. We just need to prove $h(t) \rightarrow +\infty$, as $t \rightarrow +\infty$. The process is almost the same with Lemma B.1 in [12], we omit the details. Notice that there is another method to prove Lemma B.1 by remark 2.7 in [1]. Q.E.D.

Theorem B.2. Given (X, T, Φ) , where X, T and Φ are defined as before. Assuming there exists a constant curvature metric $r_{av} \in \mathbb{R}_{>0}^N$. Consider the combinatorial Ricci potential

$$f(u) \triangleq \int_{u_{av}}^u \sum_{i=1}^N (K_i - k_{av}) du_i, \quad u \in \mathbb{R}^N,$$

where $u_{av} = \text{Exp}^{-1}(r_{av})$. Then for arbitrary constant a , $f|_{\mathcal{U}_a}$ is proper and

$$\lim_{u \rightarrow \infty, u \in \mathcal{U}_a} f(u) = +\infty.$$

Proof: Write $n = N - 1$ for convenience, and denote $\alpha^T = \frac{1}{\sqrt{N}}(1, \dots, 1)^T$ as the unit normal vector perpendicular to the hyperplane \mathcal{U}_a . Then we have $f(u) = f(u + t\alpha^T)$ for all $t \in \mathbb{R}$. Thus we only need to prove above theorem for $a = 0$. Write \mathcal{U}_0 as \mathcal{U} for short. The hyperplane \mathcal{U} is determined by n linear independent variables. We claim that $f|_{\mathcal{U}}$ is strictly convex when considered as a function of n independent variables.

Denote $\mathbb{R}_\zeta^n \triangleq \{\zeta = (\zeta_1, \dots, \zeta_N)^T \in \mathbb{R}^N | \zeta_N = 0\}$. Select an orthogonal linear transformation $\mathcal{A} : \mathbb{R}^N \rightarrow \mathbb{R}^N$, such that $\mathcal{A}\alpha^T = (0, \dots, 0, 1)^T$ and, moreover, \mathcal{A} transforms \mathcal{U} to \mathbb{R}_ζ^n one by one. The matrix form of \mathcal{A} under standard orthogonal basis of \mathbb{R}^N is denoted by A . Set $g = f|_{\mathcal{U}} \circ \mathcal{A}^{-1}|_{\mathbb{R}_\zeta^n}$, i.e.,

$$g(\zeta_1, \dots, \zeta_n) \triangleq f\left(A^T(\zeta_1, \dots, \zeta_n, 0)^T\right).$$

We want to show that $\text{Hess}(g)$ is a $n \times n$ positive definite matrix. Partition the matrix A into two blocks

$$A = \begin{bmatrix} S \\ \alpha_N \end{bmatrix},$$

where S is a $n \times N$ matrix. By calculation we get $\nabla f = K - K_{av}$, $Hess(f) = \frac{\partial(K_1, \dots, K_N)}{\partial(u_1, \dots, u_N)} = L$, $\nabla g = S \nabla f = S(K - K_{av})$ and $Hess(g) = SLS^T$. Because $A\alpha^T = (0, \dots, 0, 1)^T$, we have $\alpha = (0, \dots, 0, 1)A = \alpha_N$. Using $L\alpha^T = 0$, we obtain

$$ALA^T = \begin{bmatrix} S \\ \alpha \end{bmatrix} L \begin{bmatrix} S^T & \alpha^T \end{bmatrix} = \begin{bmatrix} SLS^T & SL\alpha^T \\ \alpha LS^T & \alpha L\alpha^T \end{bmatrix} = \begin{bmatrix} SLS^T & 0 \\ 0 & 0 \end{bmatrix}.$$

On one hand, SLS^T is positive semi-definite, since ALA^T is positive semi-definite. On the other hand, $rank(SLS^T) = rank(ALA^T) = rank(L) = n$. Therefore $Hess(g) = SLS^T$ is positive definite. Moreover, $f|_{\mathcal{U}} (= g \circ \mathcal{A}|_{\mathcal{U}})$ is strictly convex when considered as a function of $N - 1$ variables. Thus we get the claim above. The proof can be finished by using Lemma B.1.

Q.E.D.

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